

<b>Funding Unit Annual Review</b>	<b>8 August 2017</b>
<b>To: The Leisure and Development Committee For Information</b>	

<b>Linkage to Council Strategy (2015-19)</b>	
<b>Strategic Theme</b>	Accelerating our Economy and Contributing to Prosperity
<b>Outcome</b>	Maximising investment funding opportunities from external sources
<b>Lead Officer</b>	Funding Unit Manager
<b>Cost: (If applicable)</b>	N/A

**The purpose of this report is to review the performance of Council's Funding Unit.**

### **Introduction**

The Funding Unit was established in 2015 with the appointment of the Funding Unit Manager in October 2015. The strategic function of the Unit is to provide funding support services.

The Service has 4 **Strategic Aims** as outlined in the 2016/17 Business Case:

1. **Securing Funding:** To secure increased levels of funding to support Councils priorities, strategies and business plans.
2. **Business Support for EU & UK Funding Programmes:** To provide business support for EU and UK funding Programmes and Projects.
3. **External Engagement:** To develop and manage external working relationships and to support organisations in sourcing funding.
4. **Management & Administration of Council's Grant Funding:** Corporate management & administration of Council's Grant Funding programmes.

### **Progress against Business Plan**

#### **Strategic Aim 1: Securing Funding**

To secure increased levels of funding to support Councils priorities, strategies and business plans.

At the end of the 2016/17 financial year the Funding Unit had developed and worked on 26 external applications to 17 different funders:

26 x projects developed across the service areas totalling £6,894,299.

- £4,120,225 - total funding sought.
- Requiring a commitment of £1,279,168 from Council in match funding.
- If realised investment gain is £2,841,057 and return on investment is just under 2:1.

#### **Successful:**

19 x applications were successful in securing a total of **£3,652,598**

- £941,862 provided by Council as match funding.
- Investment gain is £2,710,736 and Return on Investment is 3:1.

### **Waiting a decision:**

1x application totalling £450,000 is awaiting a decision.

- £300,00 to be provided by Council in match funding.
- If realised investment gain will be £150,000 and return on investment will be just 1:2.

### **In pipeline:**

3 projects:

1. Ballymoney – Ballycastle Greenways.
2. Dark Hedges.
3. Garvagh Forest Trail.

6 x applications were unsuccessful (£635,944).

1 x project is at stage 2 of an application process (Greenways Competition).

### **(Annex A: External Applications)**

#### **Strategic Aim 2: Business Support for EU & UK Funding Programmes**

To provide business support for EU and UK funding Programmes and Projects:

- Claim processing for external applications.
- Equality data capture.
- Business support services for EU funding programmes have not been required in the 2016/17 financial period. (RDP and PEACE IV)

#### **Strategic Aim 3: External Engagement**

To develop and manage external working relationships and to support organisations in sourcing funding.

In the 2016-17 period the Funding Unit worked on 4 applications with external partners; 3 of which involved developing European partnerships:

- 3 x applications to INTERREG were unsuccessful (ActiEED, District heating Feasibility & CLARE Project).
- 1 project was completed (CASE Heating Study).

### **(Annex B: Partnership Projects)**

72 Community Organisations supported in funding searches.

16 external community organisations supported in major (over £30k) grant applications.

7 x Training programmes (20 sessions) offered for community groups - Governance, Project Planning etc.

- 36 community groups attending.

14 x Finance & Monitoring workshops held with 187 community groups attending (242 individual participants).

#### **Strategic Aim 4: Management & Administration of Council's Grant Funding**

Corporate management & administration of Council's Grant Funding programmes.

In the 2016-17 Grant Funding Period the funding Unit administered 11 Grant programmes:

- One central online funding hub implemented for the receipt, assessment and processing of all Council grant aid.
- Streamlined grant application, assessment, award and project monitoring processes.

- 8 grant funding roadshows held throughout the 4 legacy Council areas.
- 11 grant programmes developed and delivered (guidance notes, application forms, assessment forms, monitoring forms, claims forms developed).
- 341 applications totalling £2,924,738.06 were received and processed.
- 341 eligibility checks undertaken (compliance & governance - constitutions checked and verified; accounts checked).
- 341 applications assessed.
- 251 applications successful.
- 70 ineligible or unsuccessful applications received feedback.
- 251 letters of Offer issued totalling £844,469.15.
- 243 payments processed.
- £859,014.86 worth of expenditure vouched.
- £519,313.13 worth of payments made.
- 1 x Internal audit.
- 1 x External audit.

**(Annex C: Council Grants Programme summary)**

**(Annex D: Vouching & Payments)**

## Annex A: External Applications

	Project	Funder	Status	Amount Requested	LOL Amount	CC&G Contribution	Other Funder	Total project Costs	Secured/ Successful (F+G+H)	Match from Council / Secured	Waiting	Unsuccessful	Application underway	Completed
1	Dungiven Sports Centre	DCAL	Secured	2,500,000	2,500,000	339,850	-	2,839,850	2,500,000	339,850				
2	Causeway Community Foundation	SIB	Secured	10,000	10,000	-	-	10,000	10,000	-				
3	Don't Mow Let It Grow	HLF/UWT	Secured	126,000	126,000	-	14,000	140,000	126,000	-				
4	Riada 3G	DFC	Secured	350,000	350,000	200,000		550,000	350,000	200,000				
5	AECOM Parking Study	DFC	Secured	20,000	20,000	-	-	20,000	20,000					
6	SROI Study	SIB	Secured	20,000	20,000	-	-	20,000	20,000					
7	Portrush EI QS Work	SIB	Secured	4,000	4,000	-	-	4,000	4,000					
8	Enterprise Zone QS work	SIB	Secured	4,000	4,000	-	-	4,000	4,000					
9	Enterprise Zone Branding	SIB	Secured	21,000	21,000	-	-	21,000	21,000					
10	Community Centre Strategy	SIB	Secured	7,000	7,000	-	-	7,000	7,000					
11	Charge Points	Workplace Charging Scheme	Secured	5,000	3,300	33,000		36,300	3,300	33,000				
12	Portrush Heritage Trail	HLF	Secured	24,500	24,500	-	-	24,500	24,500					
13	Feeny Play Park	UWT	Secured	70,000	70,000	-	-	70,000	70,000					
14	Greenways	Dept for Infrastructure	Secured / Stage 2 Application	8,000	8,000	-	-	-	8,000					
15	Macosquin Play Park	Thomas Cook	Unsuccessful	100,000	-	-	-	100,000				100,000		
16	Private Wire	Innovate UK	Unsuccessful	120,000	-	-	-	-				120,000		
17	NPA DH feasibility Study	NPA Interreg	Unsuccessful	32,307	-	-	21,000	53,307	-			53,307		
18	Village Renewal	DAERA	Secured	67,500	67,500	22,500	-	90,000	67,500	22,500				
19	Portrush PODS	Coastal Communities	Secured	73,000	-	46,512	-	119,712	119,712	46,512				
20	Dark Hedges	HLF	Application Stage			115,000		unknown		115,000			unknown	
21	Portballintrae Walking Trail	Landfill Communities	Secured	20,280	-	-	-	20,280	20,280					
23	Mountsandel Business Case	SIB	Secured	25,000	-	-	-	25,000	25,000					
24	Garvagh Forest Trail	LCF	Secured/pipeline	150,000	30,000	300,000	120,000	450,000	30,000	300,000	120,000			
26	ActiEED	h2020	Unsuccessful	164,188	-	-	-	1,868,594				164,188		v
27	CLARE Project	NPA Interreg	Unsuccessful	48,450	-			48,450	0			48,450		v
28	MY PAD (Market Yard Project)	Arts Council Challenge Fund	Unsuccessful	150,000	-	222,306	-	372,306	222,306	222,306		150,000		
	<b>Overall Totals</b>			<b>4,120,225</b>	<b>3,265,300</b>	<b>1,279,168</b>	<b>155,000</b>	<b>6,894,299</b>	<b>3,652,598</b>	<b>1,279,168</b>	<b>120000</b>	<b>635944.5</b>		-

## Annex B: Partnership Projects

Project	Leads	Fund	Partners	Amount Applied for	Status
ActiEED (Activating Local Authorities to enable Energy Efficiency measures in a Multi-Level Governance framework)	Paul Beattie	H2020	Denmark, Croatia, Finland, Poland, Hungary, Greece, Germany	€164187.50 (total project = €1,868,593)	Unsuccessful
CASE heat network	Paul Beattie	Invest NI	Ulster University (Centre for Sustainable Technologies)	Benefit was completion of an Invest NI funded feasibility study	Completed
NPA District Heating feasibility Study	Paul Beattie	NPA Interreg	Ulster University; Uni of Applied Sciences, Finland; local council Finland; Artic Uni in Norway; local council in Norway; Energy Agency Iceland; local council in Iceland; Uni of Edinburgh, NHS Highlands, Highlands Council		Unsuccessful
CLARE project (Capacity Building in Local Regional Authorities for fostering Renewable and Energy Efficiency projects)	John Richardson / Martin Campbell	NPA Interreg	Ulster University; Iceland, Norway, Finland and Scotland	£48,450.00	Unsuccessful

## Annex C: Council Grants Summary 16/17

	Grant Programmes 2016/17	No of Applications 16/17	No Withdrawn 16/17	No Ineligible 16/17	No Rejected 16/17	No Successful 16/17	Budget 16/17	Amount Requested 16/17	LoO Amount 16/17
1	Building a United Community Fund	32	1	3	17	11	£ 14,600.00	£ 12,512.30	£ 15,261.25
2	Community Development Support Grant	58	0	6	0	52	£ 93,016.00	£ 91,398.55	£ 91,016.00
3	Community Festival Fund	61	3	1	1	56	£ 70,049.00	£ 91,833.00	£ 69,549.00
4	Culture Arts and Heritage Grant Scheme	33	4	2	5	22	£ 27,000.00	£ 32,832.50	£ 21,282.50
5	Individual Arts Bursary	0	0	0	0	0	£ -	£ -	£ -
6	Youth Creative Skills Bursary	0	0	0	0	0	£ -	£ -	£ -
7	Large Event Funding	13	14	0	0	12	£ 264,623.00	£ 359,900.00	£ 263,648.00
8	Policing and Community Safety Grant Scheme	22	1	0	9	12	£ 65,000.00	£ 103,313.20	£ 62,323.40
9	Queens Birthday Fund	36	1	1	6	28	£ 6,970.00	£ 6,930.00	£ 6,720.00
10	Small Events Funding	12	1	2	6	3	£ 15,630.00	£ 17,570.00	£ 12,900.00
11	Social Inclusion Grants	45	6	0	7	32	£ 18,889.00	£ 30,520.13	£ 16,519.00
12	Captial Grants Programme	6	2	1	1	2	£ 750,000.00	£ 2,172,678.38	£ 280,000.00
13	Christmas Festive Fund	23	0	2	0	21	£ 5,250.00	£ 5,250.00	£ 5,250.00
	<b>Total</b>	<b>341</b>	<b>33</b>	<b>18</b>	<b>52</b>	<b>251</b>	<b>£ 1,331,027.00</b>	<b>£ 2,924,738.06</b>	<b>£ 844,469.15</b>

**Annex D: Vouching & Payments**

	<b>Grant Programmes 16/17</b>	<b>No of Payments</b>	<b>Total Paid</b>	<b>Total Vouched</b>
1	Building a United Community Fund	11	£ 14,693.59	£ 19,591.45
2	Community Development Support Grant	49	£ 86,424.47	£ 115,232.62
3	Community Festival Fund	53	£ 63,966.81	£ 85,289.08
4	Culture Arts and Heritage Grant Scheme	21	£ 17,062.52	£ 22,750.02
5	Large Event Funding	12	£ 263,648.00	£ 527,296.00
6	Policing and Community Safety Grant Scheme	11	£ 35,475.54	£ 35,475.54
7	Queen's Birthday Fund	26	£ 6,128.44	£ 6,128.44
8	Small Events Funding	8	£ 12,882.00	£ 25,764.00
9	Social Inclusion Grants	31	£ 13,917.08	£ 16,373.03
10	Capital Grants Programme	0	£ -	£ -
11	Christmas Festive Fund	21	£ 5,114.68	£ 5,114.68
	<b>Total</b>	<b>243</b>	<b>£ 519,313.13</b>	<b>£ 859,014.86</b>